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PATENT

## LIST OF INFORMATION DISCLOSED BY APPLICANT

ATTY/DOCKET NO. 17976-0006	SERIAL NO. 10/675,874	FILING DATE: September 30, 2003
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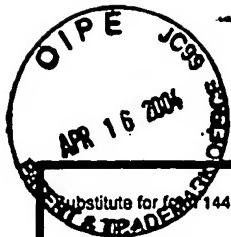
APPLICANT(S) Bernstein, et al.	GROUP ART UNIT: 1616
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## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE If Appropriate
KG	5,540,938	07/30/96	Masterson et al.			
	5,814,607	09/29/98	Patton			
	5,874,064	02/23/99	Edwards et al.			
	5,985,309	11/16/99	Edwards et al.			
	6,060,069	05/09/00	Hill et al.			
	6,136,295	10/24/00	Edwards et al.			
	6,274,171	08/14/01	Sherman et al.			
	6,395,300	05/28/02	Straub et al.			
	6,399,100	06/04/02	Clancy et al.			
	6,399,102	06/04/02	Edwards et al.			
	6,423,345	07/23/02	Bernstein et al.			
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	6,458,387	10/01/02	Scott et al.			
	6,495,165	12/17/02	Thosar et al.			
	6,544,497	04/08/03	Zhu et al.			
	6,551,578	04/22/03	Adjei et al.			
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	6,565,885	05/20/03	Tarara et al.			
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	6,589,557	07/08/03	Straub et al.			
	2001/0036481 A1	11/01/01	Basu et al			
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	2003/0068277 A1	04/10/03	Vanbever et al			
KG	2003/0118513 A1	06/26/03	Basu et al.			

EXAMINER <i>Kath MC</i>	DATE CONSIDERED <i>8-24-06</i>
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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
Sheet	1	of	1
		Application Number	10/675,874
		Filing Date	September 30, 2003
		First Named Inventor	Howard Bernstein, et al.
		Art Unit	1616
		Examiner Name	
		Attorney Docket Number	17976-0006

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Patent Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
KG		WO - 98/31346	01/16/97	Massachusetts Institute of Technology and The Penn State Research Foundation		
KG		WO - 99/16419	09/29/97	Alliance Pharmaceutical Corp.		
KG		WO - 00/72827	05/27/99	Acusphere, Inc.		

## **NON PATENT LITERATURE DOCUMENTS**

Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.

HANES, et al., "Porous Dry-Powder PLGA Microspheres Coated with Lung Surfactant For Systemic Insulin Delivery Via the Lung", 24<sup>th</sup> Int'l Symposium on Controlled Release of Bioactive Materials, Stockholm, June 1997

VANBEVER, et al., "Formulation and Physical Characterization of Large Porous Particles for Inhalation," *Pharmaceutical Research*, 16(11):1735-1742 (1999).

Examiner Signature	Kathleen M. Cawley	Date Considered	8-24-06
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Approved for use through 07/31/2006. OMB 0651-0031

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<p>Substitute for Form 1449B TO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)</p>	<p><b>Complete if Known</b></p>																				
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/675,874</td> </tr> <tr> <td>Filing Date</td> <td>September 30, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Bernstein, et al.</td> </tr> <tr> <td>Art Unit</td> <td>1616</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Sheet</td> <td>1</td> </tr> <tr> <td></td> <td>of</td> </tr> <tr> <td></td> <td>1</td> </tr> <tr> <td></td> <td>Attorney Docket Number</td> </tr> <tr> <td></td> <td>17976-0006</td> </tr> </table>	Application Number	10/675,874	Filing Date	September 30, 2003	First Named Inventor	Bernstein, et al.	Art Unit	1616	Examiner Name		Sheet	1		of		1		Attorney Docket Number		17976-0006
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>2,6</sup> (known)				
KG		WO 99/53901 A1	10-28-1999	Glaxo Group Ltd.		

## **NON-PATENT LITERATURE DOCUMENTS**

Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Application Number	10/675,874
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		Number-Kind Code <sup>2</sup> (if known)			
KG		US 5,983,956	11-16-1999	Trofast	
		US 6,030,604	02-29-2000	Trofast	
		US 6,221,398	04-24-2001	Jakupovic et al.	
		US 6,287,540	09-11-2001	Trofast	
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		US 6,998,107	02-14-2006	Unger	
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		US 2005/0238586	10-27-2005	Sutton et al.	
		US 2005/0244332	11-03-2005	Radeke et al.	
		US 2005/0244338	11-03-2005	Schutt	
		US 2006/0013771	01-19-2006	Short	
KG		US 2006/0034772	02-16-2006	Unger	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known)				
KG		WO 03/099112	12-04-2003	Point Biomedical Corporation		
Examiner Signature	<i>Kathleen C.</i>			Date Considered	8-24-06	

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